

Sec. A-1. Appropriations and allocations. The following appropriations and allocations are made.

ADMINISTRATIVE AND FINANCIAL SERVICES, DEPARTMENT OF

Accident - Sickness - Health Insurance 0455

Initiative: BASELINE BUDGET

GENERAL FUND	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	0.500	0.500	0.500	0.500
Personal Services	\$18,799	\$19,345	\$18,892	\$20,011
All Other	\$780,638	\$772,957	\$772,957	\$772,957
GENERAL FUND TOTAL	\$799,437	\$792,302	\$791,849	\$792,968
RETIREE HEALTH INSURANCE FUND	History 2011-12	History 2012-13	2013-14	2014-15
All Other	\$48,400,235	\$48,400,235	\$48,400,235	\$48,400,235
RETIREE HEALTH INSURANCE FUND TOTAL	\$48,400,235	\$48,400,235	\$48,400,235	\$48,400,235
ACCIDENT, SICKNESS AND HEALTH INSURANCE INTERNAL SERVICE FUND	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	13.000	13.000	13.000	13.000
Personal Services	\$863,448	\$886,052	\$876,380	\$916,422
All Other	\$934,716	\$918,110	\$895,354	\$895,354
ACCIDENT, SICKNESS AND HEALTH INSURANCE INTERNAL SERVICE FUND TOTAL	\$1,798,164	\$1,804,162	\$1,771,734	\$1,811,776
FIREFIGHTERS AND LAW ENFORCEMENT OFFICERS HEALTH INSURANCE PROGRAM FUND	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	1.000	1.000	1.000	1.000
Personal Services	\$55,006	\$55,029	\$61,199	\$64,331
All Other	\$53,783	\$53,783	\$53,800	\$53,800
FIREFIGHTERS AND LAW ENFORCEMENT OFFICERS HEALTH INSURANCE PROGRAM FUND TOTAL	\$108,789	\$108,812	\$114,999	\$118,131

Justification:

The Division of Employee Health & Benefits is responsible for (1) the management and administration of the State employee health plan, dental plan, direct reimbursement accounts, deferred compensation, vision care, long term care insurance and retired teacher health premium reimbursement; (2) the central management and administration of the Workers' Compensation claims for State employees; (3) management of contracted services for the Employee Assistance Programs (EAP); and (4) the development of health & safety policies and programs to reduce the incidence of illnesses and injuries to employees. The Division is comprised of two primary units with the following responsibilities:

The Employee Health unit (1) administers the State employee health plan providing a point-of-service plan and Medicare Advantage plan to approximately 40,000 covered lives. This unit administers subscriber enrollment, premium billing, claim resolution, and contract management for the health, dental, and voluntary benefits (flex spending accounts, deferred compensation, vision care and long term care insurance). This unit supports the State Employee Health Commission

which serves as trustees to the State employee health plan. The unit facilitates agreements with Commission members in order to develop policies and practices designed to contain plan costs while ensuring access to high quality, affordable health care services. This unit partners with a variety of vendors to analyze claims experience, identify trends and develop benefit design features. (2) Another area of responsibility is the EAP, which provides confidential assessment, referral, and counseling services for all State employees and their family members. The EAP assesses client needs and refers employee to appropriate community based providers. Additionally, the EAP provides short-term professional counseling services related to a wide range of personal issue, which may affect job performance. The primary objective of EAP is to provide direct services to enhance the productivity, performance, and quality of life of State employees. (3) Finally, the unit coordinates employee health and safety initiatives in cooperation with seventeen departmental health and safety committees. The unit provides consultation for work site assessments, ergonomic training, and other intervention strategies to reduce the risk of exposure to work related injuries. As part of the statewide safety programs, this unit manages the alcohol and drug testing policies and programs in order to comply with the Federal Highway Administration (FHWA) rules.

The Workers' compensation Unit is responsible for case management of claims filed in the Executive, Legislative, and Judicial branches. The unit directs agencies in the timely reporting and payment of claims, monitors and controls medical costs, implements return-to-work programs, interprets Workers' Compensation law and policies for agencies and directs a management information system. The unit works closely with line agency representatives to ensure compliance with established reporting and payment standards and to develop policies and procedures to maximize efficiency and ensure effective management of all claims.

**ACCIDENT - SICKNESS - HEALTH INSURANCE 0455
PROGRAM SUMMARY**

GENERAL FUND	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	0.500	0.500	0.500	0.500
Personal Services	\$18,799	\$19,345	\$18,892	\$20,011
All Other	\$780,638	\$772,957	\$772,957	\$772,957
GENERAL FUND TOTAL	\$799,437	\$792,302	\$791,849	\$792,968

RETIREE HEALTH INSURANCE FUND	History 2011-12	History 2012-13	2013-14	2014-15
All Other	\$48,400,235	\$48,400,235	\$48,400,235	\$48,400,235
RETIREE HEALTH INSURANCE FUND TOTAL	\$48,400,235	\$48,400,235	\$48,400,235	\$48,400,235

ACCIDENT, SICKNESS AND HEALTH INSURANCE INTERNAL SERVICE FUND	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	13.000	13.000	13.000	13.000
Personal Services	\$863,448	\$886,052	\$876,380	\$916,422
All Other	\$934,716	\$918,110	\$895,354	\$895,354
ACCIDENT, SICKNESS AND HEALTH INSURANCE INTERNAL SERVICE FUND TOTAL	\$1,798,164	\$1,804,162	\$1,771,734	\$1,811,776

FIREFIGHTERS AND LAW ENFORCEMENT OFFICERS HEALTH INSURANCE PROGRAM FUND	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	1.000	1.000	1.000	1.000
Personal Services	\$55,006	\$55,029	\$61,199	\$64,331
All Other	\$53,783	\$53,783	\$53,800	\$53,800
FIREFIGHTERS AND LAW ENFORCEMENT OFFICERS HEALTH INSURANCE PROGRAM FUND TOTAL	\$108,789	\$108,812	\$114,999	\$118,131

Trade Adjustment Assistance Health Insurance Z001

Initiative: BASELINE BUDGET

	History 2011-12	History 2012-13	2013-14	2014-15
FEDERAL EXPENDITURES FUND				
All Other	\$8,385	\$8,385	\$8,385	\$8,385
FEDERAL EXPENDITURES FUND TOTAL	\$8,385	\$8,385	\$8,385	\$8,385
	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
All Other	\$75,000	\$75,000	\$75,000	\$75,000
OTHER SPECIAL REVENUE FUNDS TOTAL	\$75,000	\$75,000	\$75,000	\$75,000

Justification:

The purpose of this program is to provide a group health insurance product for individuals certified to receive federal assistance for health coverage under the terms of the tax credit program within the federal Trade Adjustment Assistance Reform Act of 2002. Individuals certified under the Trade Adjustment Assistance Reform Act are workers who have been displaced as a result of foreign competition

**TRADE ADJUSTMENT ASSISTANCE HEALTH INSURANCE Z001
PROGRAM SUMMARY**

	History 2011-12	History 2012-13	2013-14	2014-15
FEDERAL EXPENDITURES FUND				
All Other	\$8,385	\$8,385	\$8,385	\$8,385
FEDERAL EXPENDITURES FUND TOTAL	\$8,385	\$8,385	\$8,385	\$8,385
	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
All Other	\$75,000	\$75,000	\$75,000	\$75,000
OTHER SPECIAL REVENUE FUNDS TOTAL	\$75,000	\$75,000	\$75,000	\$75,000

ADMINISTRATIVE AND FINANCIAL SERVICES, DEPARTMENT OF

DEPARTMENT TOTALS	2013-14	2014-15
GENERAL FUND	\$791,849	\$792,968
FEDERAL EXPENDITURES FUND	\$8,385	\$8,385
OTHER SPECIAL REVENUE FUNDS	\$75,000	\$75,000
RETIREE HEALTH INSURANCE FUND	\$48,400,235	\$48,400,235
ACCIDENT, SICKNESS AND HEALTH INSURANCE INTERNAL SERVICE FUND	\$1,771,734	\$1,811,776
FIREFIGHTERS AND LAW ENFORCEMENT OFFICERS HEALTH INSURANCE PROGRAM FUND	\$114,999	\$118,131
DEPARTMENT TOTAL - ALL FUNDS	\$51,162,202	\$51,206,495

Sec. A-19. Appropriations and allocations.

The following appropriations and allocations are made.

DIRIGO HEALTH

Dirigo Health Fund 0988

Initiative: BASELINE BUDGET

FEDERAL EXPENDITURES FUND	History 2011-12	History 2012-13	2013-14	2014-15
All Other	\$17,398,723	\$11,521,047	\$11,521,047	\$11,521,047
FEDERAL EXPENDITURES FUND TOTAL	\$17,398,723	\$11,521,047	\$11,521,047	\$11,521,047

DIRIGO HEALTH FUND	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	13.000	13.000	13.000	13.000
Personal Services	\$1,232,931	\$1,242,846	\$1,301,154	\$1,351,937
All Other	\$68,161,730	\$65,160,705	\$65,091,232	\$65,091,232
DIRIGO HEALTH FUND TOTAL	\$69,394,661	\$66,403,551	\$66,392,386	\$66,443,169

Justification:

A key part of the Dirigo Health Reform Legislation was the creation of the Dirigo Health Agency. The Reform created the Agency as an independent executive agency "to arrange for the provision of comprehensive, affordable health care coverage to eligible small employers, including the self-employed, their employees and dependents, and individuals on a voluntary basis, and is also responsible for monitoring and improving the quality of health care in this State." (24-A M.R.S.A. §6902).

Dirigo Health Fund 0988

Initiative: Eliminates positions and reduces funding to reflect the dissolution of the Dirigo Health Agency in fiscal year 2013-14. Funding for staff and operating costs for one Public Executive III position and one Dirigo Health/Program Coordinator position which provide support for the Maine Quality Forum are not eliminated and continue in fiscal year 2014-15.

Ref. #: 1022

Committee Vote: _____

AFA Vote: _____

FEDERAL EXPENDITURES FUND	2013-14	2014-15
All Other	\$0	(\$11,521,047)
FEDERAL EXPENDITURES FUND TOTAL	\$0	(\$11,521,047)

Ref. #: 1023

Committee Vote: _____

AFA Vote: _____

DIRIGO HEALTH FUND	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	0.000	(11.000)
Personal Services	\$0	(\$1,066,027)
All Other	(\$33,330,961)	(\$63,869,164)
DIRIGO HEALTH FUND TOTAL	(\$33,330,961)	(\$64,935,191)

Justification:

No justification provided.

**DIRIGO HEALTH FUND 0988
PROGRAM SUMMARY**

FEDERAL EXPENDITURES FUND	History 2011-12	History 2012-13	2013-14	2014-15
All Other	\$17,398,723	\$11,521,047	\$11,521,047	\$0
FEDERAL EXPENDITURES FUND TOTAL	\$17,398,723	\$11,521,047	\$11,521,047	\$0

DIRIGO HEALTH FUND	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	13.000	13.000	13.000	2.000
Personal Services	\$1,232,931	\$1,242,846	\$1,301,154	\$285,910
All Other	\$68,161,730	\$65,160,705	\$31,760,271	\$1,222,068
DIRIGO HEALTH FUND TOTAL	\$69,394,661	\$66,403,551	\$33,061,425	\$1,507,978

DIRIGO HEALTH

DEPARTMENT TOTALS	2013-14	2014-15
FEDERAL EXPENDITURES FUND	\$11,521,047	\$0
DIRIGO HEALTH FUND	\$33,061,425	\$1,507,978
DEPARTMENT TOTAL - ALL FUNDS	\$44,582,472	\$1,507,978

Sec. A-58. Appropriations and allocations. The following appropriations and allocations are made.

PROFESSIONAL AND FINANCIAL REGULATION, DEPARTMENT OF

Bureau of Consumer Credit Protection 0091

Initiative: BASELINE BUDGET

OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	15.500	15.500	15.500	15.500
Personal Services	\$1,118,929	\$1,133,793	\$1,195,020	\$1,252,652
All Other	\$977,539	\$977,534	\$977,143	\$977,143
OTHER SPECIAL REVENUE FUNDS TOTAL	\$2,096,468	\$2,111,327	\$2,172,163	\$2,229,795

Justification:

The Bureau of Consumer Credit Protection protects the citizens of Maine from unfair and deceptive practices with respect to various financial services, including consumer credit and debt collection. This is accomplished through enforcing state laws to assist consumers who are subject to illegal credit-related practices, educating consumers and creditors as to their rights and responsibilities under those laws, and encouraging the development of fair and economically-sound consumer credit practices. The agency enforces the Maine Consumer Credit Code, Title 9-A, as it applies to all creditors and lenders other than banks and credit unions. Enforcement responsibilities also extend to other statutes, including the Fair Debt Collection Practices Act, the Fair Credit Reporting Act, and Maine's "Plain Language" Law. The agency regulates retail creditors, pawnshops, rent-to-own stores, mortgage companies, loan arrangers and credit bureaus. In addition, the office is responsible for ensuring legal compliance by money transmitters, money order issuers, operators of non-bank Automated Teller Machines, debt management service providers (credit counselors), payroll processors, and individual loan officers employed by non-bank lenders or loan brokers. The bureau enforces Truth in Lending, Regulation Z, credit disclosure requirements. Maine has received exemptions from federal oversight due to the State's diligent enforcement of the principles of the Truth-in-Lending Act and the Fair Debt Collection Practices Act.

Bureau of Consumer Credit Protection 0091

Initiative: Eliminates one part-time Senior Consumer Credit Examiner position, transfers one Senior Consumer Credit Examiner position and reallocates 50% of the costs of one Office Associate II position and 25% of the cost of one Chief Field Investigator position between Other Special Revenue Fund accounts within the Bureau of Consumer Credit Protection program.

Ref. #: 2295

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	(0.500)	(0.500)
Personal Services	(\$29,381)	(\$31,278)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$29,381)	(\$31,278)

Justification:

This initiative transfers additional percentages of certain employees' salaries and related expenses from the bureau's foreclosure fund to the baseline account, based on the time actually expended on work directly related to the Office of Consumer Protection. Affected employees include one Senior Consumer Credit Examiner (100%), one Office Associate II position (50%), one Chief Field Investigator position (25%) and eliminates one Senior Examiner half position.

Justification:

This initiative reduces STA-CAP to more accurately reflect expenses.

**BUREAU OF CONSUMER CREDIT PROTECTION 0091
PROGRAM SUMMARY**

OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	15.500	15.500	14.000	14.000
Personal Services	\$1,118,929	\$1,133,793	\$1,112,163	\$1,164,189
All Other	\$977,539	\$977,534	\$924,466	\$830,682
OTHER SPECIAL REVENUE FUNDS TOTAL	\$2,096,468	\$2,111,327	\$2,036,629	\$1,994,871

Financial Institutions - Bureau of 0093

Initiative: BASELINE BUDGET

	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	20.000	20.000	20.000	20.000
Personal Services	\$1,546,695	\$1,560,772	\$1,638,070	\$1,695,250
All Other	\$644,377	\$644,377	\$644,153	\$644,153
OTHER SPECIAL REVENUE FUNDS TOTAL	\$2,191,072	\$2,205,149	\$2,282,223	\$2,339,403

Justification:

The Bureau of Financial Institutions is an agency within the Department of Professional and Financial Regulation. The Bureau is funded by dedicated revenue from the financial institutions it regulates. The Bureau regulates all state-chartered banks and credit unions through the administration and enforcement of the Maine Banking Code and the Maine Consumer Credit Code. The Bureau is divided into 2 divisions: one for research, administration and regulatory activities and the other for examinations. The Bureau's statutory mission is to assure the strength, stability and efficiency of all financial institutions, ensure reasonable and orderly competition, encourage the development and expansion of financial services advantageous to the public welfare and protect consumers against unfair practices by financial institutions that provide consumer credit.

Financial Institutions - Bureau of 0093

Initiative: Eliminates one Principal Bank Examiner position in the Financial Institutions - Bureau of program.

Ref. #: 2308

Committee Vote: _____

AFA Vote: _____

	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS		
POSITIONS - LEGISLATIVE COUNT	(1.000)	(1.000)
Personal Services	(\$83,248)	(\$88,713)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$83,248)	(\$88,713)

Justification:

Bureau of Financial Institutions will eliminate one Principal Bank Examiner position. This position is currently vacant. The Bureau will continue to redistribute examination responsibilities among existing staff members.

**FINANCIAL INSTITUTIONS - BUREAU OF 0093
PROGRAM SUMMARY**

OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	20.000	20.000	19.000	19.000
Personal Services	\$1,546,695	\$1,560,772	\$1,554,822	\$1,606,537
All Other	\$644,377	\$644,377	\$644,153	\$644,153
OTHER SPECIAL REVENUE FUNDS TOTAL	\$2,191,072	\$2,205,149	\$2,198,975	\$2,250,690

Insurance - Bureau of 0092

Initiative: BASELINE BUDGET

	History 2011-12	History 2012-13	2013-14	2014-15
FEDERAL EXPENDITURES FUND				
All Other	\$950,000	\$1,000,000	\$1,000,000	\$1,000,000
FEDERAL EXPENDITURES FUND TOTAL	\$950,000	\$1,000,000	\$1,000,000	\$1,000,000
	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	77.000	77.000	78.500	78.500
POSITIONS - FTE COUNT	1.500	1.500	0.000	0.000
Personal Services	\$6,038,420	\$6,131,669	\$6,433,530	\$6,707,894
All Other	\$2,033,249	\$2,026,731	\$2,025,678	\$2,025,678
OTHER SPECIAL REVENUE FUNDS TOTAL	\$8,071,669	\$8,158,400	\$8,459,208	\$8,733,572

Justification:

The Bureau of Insurance is responsible for the regulation and supervision of the insurance industry in Maine. This includes, but is not limited to, insurance companies, producers (formerly referred to as "agents"), health maintenance organizations (HMOs), employers' self insured for workers' compensation and other insurance entities. To meet this responsibility, the bureau is empowered to license insurance companies to operate in the State of Maine, as well as non-profit hospital, medical or other health service organizations, health maintenance organizations, captive insurance companies, insurance producers, medical utilization review entities, third-party administrators, continuing care retirement communities, advisory organizations and reinsurance intermediaries/managers. The bureau registers preferred provider organizations, risk purchasing groups, risk retention groups, managing general agents and employee leasing plans. The bureau regularly conducts vigorous financial examinations of all domestic insurers as well as market conduct examinations to determine compliance with the Maine Insurance Code. Bureau staff also reviews all the financial statements, Securities and Exchange Commission filings and other publicly available information on all licensed and authorized insurance companies doing business in Maine. The financial review emphasis is placed domestic insurance companies. The bureau also examines and issues licenses to qualified applicants as insurance producers, consultants and adjusters. All policy forms and contracts used in Maine must be filed by insurance companies for approval by the bureau which administers the rating laws that apply to certain lines of insurance. The bureau may seek suspension or revocation of licenses in instances where licensees have failed to comply with the statutory provisions of 24 M.R.S.A. and 24-A M.R.S.A. and the lawful regulations of the bureau.

Insurance - Bureau of 0092

Initiative: Eliminates one Senior Insurance Rate Analyst position, one Insurance Company Examiner position, one Senior Market Conduct Examiner position, one part-time Office Associate II position, one Office Assistant II position and one part-time Assistant Insurance Analyst position.

Ref. #: 2303

Committee Vote: _____

AFA Vote: _____

	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS		
POSITIONS - LEGISLATIVE COUNT	(5.500)	(5.500)
Personal Services	(\$336,066)	(\$356,963)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$336,066)	(\$356,963)

INSURANCE - BUREAU OF 0092
PROGRAM SUMMARY

	History 2011-12	History 2012-13	2013-14	2014-15
FEDERAL EXPENDITURES FUND				
All Other	\$950,000	\$1,000,000	\$10,000	\$10,000
FEDERAL EXPENDITURES FUND TOTAL	\$950,000	\$1,000,000	\$10,000	\$10,000
	History 2011-12	History 2012-13	2013-14	2014-15
OTHER SPECIAL REVENUE FUNDS				
POSITIONS - LEGISLATIVE COUNT	77.000	77.000	73.000	73.000
POSITIONS - FTE COUNT	1.500	1.500	0.000	0.000
Personal Services	\$6,038,420	\$6,131,669	\$6,097,464	\$6,350,931
All Other	\$2,033,249	\$2,026,731	\$2,087,640	\$2,110,091
OTHER SPECIAL REVENUE FUNDS TOTAL	\$8,071,669	\$8,158,400	\$8,185,104	\$8,461,022

Office of Securities 0943

Initiative: BASELINE BUDGET

FEDERAL EXPENDITURES FUND	History 2011-12	History 2012-13	2013-14	2014-15
All Other	\$10,113	\$10,113	\$10,113	\$10,113
FEDERAL EXPENDITURES FUND TOTAL	\$10,113	\$10,113	\$10,113	\$10,113

OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	12.000	12.000	12.000	12.000
Personal Services	\$1,051,191	\$1,062,750	\$978,646	\$1,007,869
All Other	\$481,634	\$479,454	\$479,245	\$479,245
OTHER SPECIAL REVENUE FUNDS TOTAL	\$1,532,825	\$1,542,204	\$1,457,891	\$1,487,114

Justification:

The Office of Securities was formed to protect Maine citizens against fraud and other abusive practices in connection with the sale of securities. The office's major functions include licensing persons engaged in the business of selling securities or providing investment advice, registering securities being offered and sold in Maine, and investigating and prosecuting alleged violations of the securities laws. The Office of Securities administers and enforces the Maine Uniform Securities Act, the laws governing the sale of business opportunities, and the Maine Commodity Code.

Office of Securities 0943

Initiative: Continues one limited-period Senior Securities Examiner position and one limited-period Securities Examiner-in-Charge position through June 6, 2015. These positions were previously authorized to continue in Public Law 2011, chapter 380.

Ref. #: 2343

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
Personal Services	\$154,156	\$164,248
OTHER SPECIAL REVENUE FUNDS TOTAL	\$154,156	\$164,248

Justification:

This initiative continues two positions for the purpose of handling the increased examinations and audits for compliance of Securities laws and regulations. Office of Securities estimates an increase by a minimum of 24 additional examinations for biennium 2014-2015. Based upon historical trends, that number is likely to increase by an additional 15 to 20 due to additional license applications received during the remainder of fiscal year 2012-13 and fiscal year 2013-14. By the end of fiscal year 2014-15 the pool of investment advisors subject to examinations is expected to increase from 90 to 134.

Office of Securities 0943

Initiative: Provides funding to increase the hours of one Public Services Manager II position from 58 hours to 80 hours biweekly.

Ref. #: 2344

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
Personal Services	\$25,892	\$26,384
OTHER SPECIAL REVENUE FUNDS TOTAL	\$25,892	\$26,384

Justification:

Office of Securities will increase hours for one Assistant Securities Administrator position from 58 hours to 80 hours bi-weekly. This will allow for additional focus on facilitating capital investments for Maine businesses.

Office of Securities 0943

Initiative: Reduces funding to accurately reflect anticipated expenditures.

Ref. #: 2345

Committee Vote: _____

AFA Vote: _____

OTHER SPECIAL REVENUE FUNDS	2013-14	2014-15
All Other	(\$33,738)	(\$33,142)
OTHER SPECIAL REVENUE FUNDS TOTAL	(\$33,738)	(\$33,142)

Justification:

The Office of Securities has reduced funding in object line categories to accurately reflect actual expenses.

OFFICE OF SECURITIES 0943

PROGRAM SUMMARY

FEDERAL EXPENDITURES FUND	History 2011-12	History 2012-13	2013-14	2014-15
All Other	\$10,113	\$10,113	\$10,113	\$10,113
FEDERAL EXPENDITURES FUND TOTAL	\$10,113	\$10,113	\$10,113	\$10,113
OTHER SPECIAL REVENUE FUNDS	History 2011-12	History 2012-13	2013-14	2014-15
POSITIONS - LEGISLATIVE COUNT	12,000	12,000	12,000	12,000
Personal Services	\$1,051,191	\$1,062,750	\$1,158,694	\$1,198,501
All Other	\$481,634	\$479,454	\$445,507	\$446,103
OTHER SPECIAL REVENUE FUNDS TOTAL	\$1,532,825	\$1,542,204	\$1,604,201	\$1,644,604

PROFESSIONAL AND FINANCIAL REGULATION, DEPARTMENT OF

DEPARTMENT TOTALS	2013-14	2014-15
FEDERAL EXPENDITURES FUND	\$20,113	\$20,113
OTHER SPECIAL REVENUE FUNDS	\$14,024,909	\$14,351,187
DEPARTMENT TOTAL - ALL FUNDS	\$14,045,022	\$14,371,300